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EDWARD BENNETT WILLIAMS (1920-1988)  
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January 7, 2020

Via ECF and Federal Express

Hon. William H. Pauley III  
United States District Judge  
Daniel Patrick Moynihan United States Courthouse  
500 Pearl Street, Room 1920  
New York, NY 10007-1312

Re: Securities and Exchange Commission v. Jason Sugarman (No. 19-cv-5998)

Dear Judge Pauley,

We represent Defendant Jason Sugarman in the above-referenced action and write pursuant to Your Honor's Individual Rule of Practice III.F to identify the following motion papers filed in connection with Mr. Sugarman's Motion to Dismiss the Complaint:

Motion to Dismiss (November 12, 2019)

Notice of Motion to Dismiss the Complaint	ECF No. 38
Memorandum of Law in Support of Jason Sugarman's Motion to Dismiss the Complaint	ECF No. 39
Declaration of David M. Zinn in Support of Jason Sugarman's Memorandum of Law in Support of His Motion to Dismiss the Complaint	ECF No. 40
Exhibits A-C to Declaration of David M. Zinn	ECF Nos. 40-1-40-3

Opposition to Motion to Dismiss (December 20, 2019)

Plaintiff Securities and Exchange Commission's Memorandum of Law in Opposition to Defendant's Motion to Dismiss	ECF No. 42
Declaration of Jorge G. Tenreiro	ECF No. 43

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Exhibit A to Declaration of Jorge G. Tenreiro

ECF No. 43-1

Reply (January 7, 2020)

Reply Memorandum in Support of Jason Sugarman's  
Motion to Dismiss the Complaint

ECF No. 45

Declaration of David M. Zinn in Support of Jason  
Sugarman's Reply Memorandum

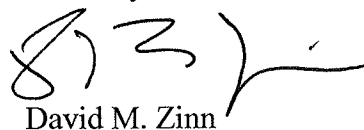
ECF No. 46

Exhibits D-E to Declaration of David M. Zinn

ECF No. 46-1-46-2

A courtesy copy of the Reply Memorandum and its supporting papers are enclosed with the hard copy of this letter.

Sincerely,

A handwritten signature in black ink, appearing to be 'DMZ' followed by a flourish.

David M. Zinn

cc: All parties (by ECF)